

# Rewriting techniques, I: basics, interpretation, termination

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## Exercise 1 :

- (a) Given the reduction rules

$$((s\ x) + y) \triangleright (s\ (x + y)); \quad (0 + x) \triangleright x$$

Can  $(s\ ((s\ 0) + 0))$  be *reduced*? Can it be *rewritten*? Provide the substitution, the context and the term  $t$  being reduced.

- (b) A *string rewrite system* (SRS for short) is a TRS over a signature that contains only unary function symbols. Given the (string) reductions

$$a(b(x)) \triangleright b(a(x))$$

Can  $a(a(b(x)))$  be reduced? Can  $a(b(a(x)))$  be reduced? Can they be rewritten?

- (c) Build a reduced string rewrite system that is not terminating.

### Solution:

Definition of an interreduced TRS from

<https://www.lix.polytechnique.fr/~jouannaud/articles/cours-tlpo.pdf>:

A TRS  $R$  is interreduced if for all  $l \rightarrow r \in R$ ,  $r$  is normal in  $R$  and  $l$  is normal in  $R \setminus \{l \rightarrow r\}$ .

$$aab \rightarrow aba; \quad baa \rightarrow aba$$

is not terminating, since string  $aaba$  gives birth to the rewrite sequence  $aaba \rightarrow abaa \rightarrow aaba \rightarrow \dots$ . The SRS  $ab \rightarrow bba$  isn't terminating either, given context  $aab$ .

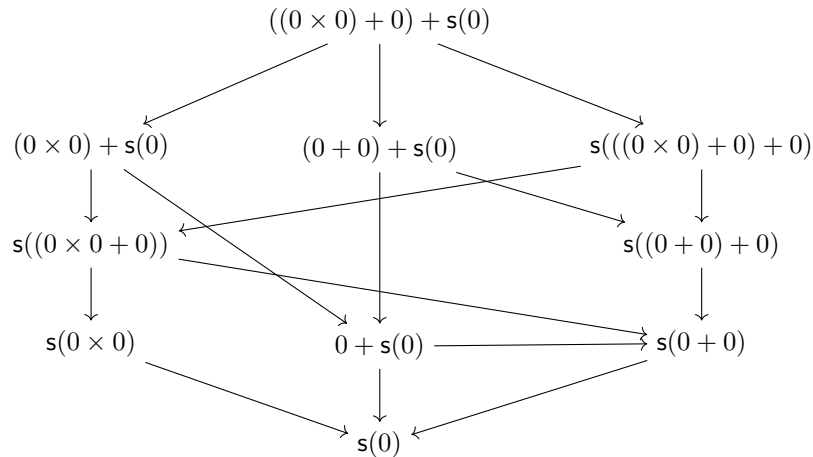
## Exercise 2 :

Given the following term rewriting system (TRS):

$$\begin{array}{ll} x \times 0 \rightarrow 0 & x + 0 \rightarrow x \\ 0 \times x \rightarrow 0 & 0 + x \rightarrow x \\ s(x) \times y \rightarrow (x \times y) + y & x + s(y) \rightarrow s(x + y) \\ x \times s(y) \rightarrow (x \times y) + x & s(x) + y \rightarrow s(x + y) \end{array}$$

Show the *reduction graph* of  $((0 \times 0) + 0) + s(0)$ .

### Solution:



**Exercise 3 :**

Given the signature  $(\{\mathbb{N}, \text{List}\}, \{0, \text{s}, \epsilon, :, \mathbb{M}, \text{sort}\})$  where the set of functions is typed as follows:

$$\begin{aligned} 0 &: \mathbb{N}, & \text{s} &: \mathbb{N} \rightarrow \mathbb{N}, & \epsilon &: \text{List}, & (:) &: \mathbb{N} \times \text{List} \rightarrow \text{List}, \\ \mathbb{M} &: \text{List} \times \text{List} \rightarrow \text{List}, & \text{sort} &: \text{List} \rightarrow \text{List} \end{aligned}$$

Define a finite TRS that simulates the *mergesort algorithm*. If needed, you can define auxiliary sorts and function symbols.

**Solution:**

We will use the additional sort  $\mathbb{B} = \{\top, \perp\}$  and the following function symbols:

$\text{even} : \text{List} \rightarrow \text{List}$ ,  $\text{odd} : \text{List} \rightarrow \text{List}$ ,  $\geq : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{B}$ ,  $\text{aux} : \mathbb{N} \times \text{List} \times \text{List} \rightarrow \text{List}$

We define the following TRS:

$$\begin{aligned} \text{even}(\epsilon) &\rightarrow \epsilon & \text{odd}(\epsilon) &\rightarrow \epsilon \\ \text{even}(x:\epsilon) &\rightarrow \epsilon & \text{odd}(x:\epsilon) &\rightarrow x:\epsilon \\ \text{even}(x:y:z) &\rightarrow y:\text{even}(z) & \text{odd}(x:y:z) &\rightarrow x:\text{odd}(z) \\ \\ 0 \geq 0 &\rightarrow \top & \text{aux}(\top, x:y, z:w) &\rightarrow z: \mathbb{M}(x:y, w) \\ \text{s}(x) \geq 0 &\rightarrow \top & \text{aux}(\perp, x:y, z:w) &\rightarrow x: \mathbb{M}(y, z:w) \\ 0 \geq \text{s}(x) &\rightarrow \perp & \\ \text{s}(x) \geq \text{s}(y) &\rightarrow x \geq y & \\ \\ \mathbb{M}(x, \epsilon) &\rightarrow x & \\ \mathbb{M}(\epsilon, x) &\rightarrow x & \\ \mathbb{M}(x:y, z:w) &\rightarrow \text{aux}(x \geq z, x:y, z:w) & \\ \\ \text{sort}(\epsilon) &\rightarrow \epsilon & \\ \text{sort}(x:\epsilon) &\rightarrow x:\epsilon & \\ \text{sort}(x:y:z) &\rightarrow \mathbb{M}(\text{sort}(\text{even}(x:y:z)), \text{sort}(\text{odd}(x:y:z))) & \end{aligned}$$

A **polynomial interpretation on integers** is the following:

- a subset  $A$  of  $\mathbb{N}$ ;
- for every symbol  $f$  of arity  $n$ , a polynomial  $P_f \in \mathbb{N}[X_1, \dots, X_n]$ ;
- for every  $a_1, \dots, a_n \in A$ ,  $P_f(a_1, \dots, a_n) \in A$ ;
- for every  $a_1, \dots, a_i > a'_i, \dots, a_n \in A$ ,  $P_f(a_1, \dots, a_i, \dots, a_n) > P_f(a_1, \dots, a'_i, \dots, a_n)$ ;

Then  $(A, (P_f)_f, >)$  is a well-founded monotone algebra.

**Exercise 4 :**

Consider the TRS  $R$  consisting of the rewrite rules

$$\begin{aligned} 0 + y &\rightarrow y & 0 \dot{-} y &\rightarrow 0 & \min(x, y) &\rightarrow x \dot{-} (x \dot{-} y) \\ \text{s}(x) + y &\rightarrow \text{s}(x + y) & \text{s}(x) \dot{-} 0 &\rightarrow \text{s}(x) & \max(x, y) &\rightarrow (x + y) \dot{-} \min(x, y) \\ & & \text{s}(x) \dot{-} \text{s}(y) &\rightarrow x \dot{-} y & \end{aligned}$$

- (a) Show that the following interpretation over  $\mathbb{N}$  is indeed a interpretation and that is compatible with  $R$  (that is, for each rule  $\ell \rightarrow r \in R$ , we have, for any assignment  $\alpha$ ,  $[\alpha](\ell) >_{\mathbb{N}} [\alpha](r)$ )

$$\begin{aligned} 0_{\mathbb{N}} &= 0 & +_{\mathbb{N}}(x, y) &= 2x + y + 1 & \min(x, y) &= 2x + y + 3 \\ \text{s}_{\mathbb{N}}(x) &= x + 1 & \dot{-}_{\mathbb{N}}(x, y) &= x + y + 1 & \max_{\mathbb{N}}(x, y) &= 4x + 2y + 6 \end{aligned}$$

**Solution:**

$$\begin{aligned} \llbracket (0 + y) \rrbracket &= y + 1 > y = \llbracket y \rrbracket & \llbracket (\text{s}(x) + y) \rrbracket &= 2x + y + 3 > 2x + y + 2 = \llbracket (\text{s}(x + y)) \rrbracket \end{aligned}$$

- (b) Find natural numbers  $a, b, c, d, e$  and  $f$  such that the following interpretation over  $\mathbb{N}$  is compatible with  $R$ ,

$$\begin{array}{lll} 0_{\mathbb{N}} = 0 & +_{\mathbb{N}}(x, y) = 2x + y + 1 & \min_{\mathbb{N}}(x, y) = 3x + by + c \\ s_{\mathbb{N}}(x) = x + 1 & -_{\mathbb{N}}(x, y) = x + 2y + a & \max_{\mathbb{N}}(x, y) = dx + ey + f \end{array}$$

**Solution:**

The compatibility condition provides the following inequalities

$$\begin{aligned} a &> 0 \\ (b - 4)y + c - 3a &> 0 \\ (d - 8)x + (e - 2b - 1)y + f - 2c - a - 1 &> 0 \end{aligned}$$

which are satisfied, for all  $(x, y) \in (\mathbb{N} \times \mathbb{N})$ , with values  $(a, b, c, d, e, f) = (1, 4, 4, 8, 10, 11)$ .

**Exercise 5 :**

Prove the termination of the following TRS

$$\begin{array}{ll} 0 \times x \rightarrow 0 & x + 0 \rightarrow x \\ s(x) \times y \rightarrow (x \times y) + y & x + s(y) \rightarrow s(x + y) \end{array}$$

using the polynomial interpretation on natural numbers:

$$P_0 = 2 \quad P_s(X) = X + 1 \quad P_+(X, Y) = X + 2Y \quad P_{\times}(X, Y) = (X + Y)^2$$

**Solution:**

From the polynomial interpretation we get the following polynomial for the various rules of the TRS:  $P_{0 \times x}(X) = (X + 2)^2$ ,  $P_{s(x) \times y}(X, Y) = (X + Y + 1)^2$ ,  $P_{(x \times y) + y}(X, Y) = (X + Y)^2 + 2Y$ ,  $P_{x + 0}(X) = X + 4$ ,  $P_{x + s(y)}(X, Y) = X + 2(Y + 1)$  and  $P_{s(x + y)} = X + 2Y + 1$ .

- $P_{0 \times x}(X) > P_0$  true since  $(X + 2)^2 = X^2 + 4X + 4 > 2$ ;
- $P_{s(x) \times y}(X, Y) > P_{(x \times y) + y}(X, Y)$  true since  $(X + Y + 1)^2 = X^2 + 2XY + Y^2 + 2X + 2Y + 1$  is greater than  $(X + Y)^2 + 2Y = X^2 + 2XY + Y^2 + 2Y$ ;
- $P_{x + 0}(X) > X$  true since  $X + 4 > X$ ;
- $P_{x + s(y)}(X, Y) > P_{s(x + y)}$  since  $X + 2(Y + 1) > X + 2Y + 1$ .

Is this polynomial interpretation suitable to prove termination of the TRS of Exercise 2?

**Solution:**

No. For the rule  $s(x) + y \rightarrow s(x + y)$ . Indeed,  $P_{s(x) + y}(X, Y) = P_{s(x + y)}(X, Y) = X + 2Y + 1$ .

**Exercise 6 :**

Let  $R$  be a rewrite system on a signature  $\mathcal{F}$ , and  $I$  a model of  $R$ , that is, an  $\mathcal{F}$ -algebra  $(A, (f_I)_{f \in \mathcal{F}})$  such that  $R \subseteq =_I$  where  $t =_I u$  iff for all  $\xi : \mathcal{V} \rightarrow A$ ,  $t\xi = u\xi$ .

Let  $\mathcal{F}^I$  be the signature such that  $f_{a_1, \dots, a_n} \in \mathcal{F}_n^I$  iff  $f \in \mathcal{F}_n$  and let  $\text{lab}(R) = \{\text{lab}(\ell, \xi) \rightarrow \text{lab}(r, \xi) \mid \ell \rightarrow r \in R, \xi : \mathcal{V} \rightarrow A\}$ , where  $\text{lab}(x, \xi) = x$  and  $\text{lab}(f \ t_1 \ \dots \ t_n, \xi) = f_{t_1\xi, \dots, t_n\xi} \text{lab}(t_1, \xi) \ \dots \ \text{lab}(t_n, \xi)$ , where for any term  $t$ ,  $t\xi \in A$  is the substitution generalised to terms that is, the rewrite system obtained by labeling function symbols by the semantics of their arguments.

1. Prove that  $\rightarrow_R$  terminates iff  $\rightarrow_{\text{lab}(R)}$  terminates.

**Solution:**

$\Rightarrow$  Assume there is an infinite rewrite sequence  $t_1 \rightarrow_{\text{lab}(R)} t_2 \dots$ . Then by stripping the labels, we obtain an infinite rewrite sequence in  $R$ ,  $t_1 \rightarrow_R t_2 \dots$ ; which is impossible since  $R$  is terminating.

$\Leftarrow$  Assume there is an infinite rewrite sequence  $t_1 \rightarrow_R t_2 \dots$ . We will show that this sequence gives birth to an infinite rewrite sequence in  $\text{lab}(R)$ . The sequence can be written out with contexts  $C_i$  and substitutions  $\sigma_i$ ,

$$C_i[\ell_i \sigma_i] \rightarrow_R C_i[r_i \sigma_i] = C_{i+1}[\ell_{i+1} \sigma_{i+1}] \rightarrow_R C_{i+1}[r_{i+1} \sigma_{i+1}]$$

with for all  $i$ ,  $\ell_i \rightarrow r_i \in R$ .

We now show that each rewrite step  $C_i[\ell_i \sigma_i] \rightarrow C_i[r_i \sigma_i]$  in  $R$  gives rise to a  $\text{lab}(R)$  rewrite step.

- Note that,  $\forall \sigma, \xi$ ,  $\text{lab}(t\sigma, \xi) = \text{lab}(t, \xi \circ \sigma) \text{lab}(\sigma, \xi)$  where for any substitution  $\sigma$ , if  $\sigma(x) = t$ , then  $\text{lab}(\sigma, \xi)(x) = \text{lab}(t, \xi)$  (can be proved by induction on the structure of terms).

Therefore,

$$\begin{aligned} \text{lab}(\ell\sigma, \xi) &= \text{lab}(\ell, \xi \circ \sigma) \text{lab}(\sigma, \xi) \rightarrow_{\text{lab}(R)} \\ &\text{lab}(r, \xi \circ \sigma) \text{lab}(\sigma, \xi) = \text{lab}(r\sigma, \xi) \end{aligned} \quad (1)$$

The rewriting is allowed because

- \* if  $\ell \rightarrow r \in R$ , then  $\forall \xi$ ,  $\text{lab}(\ell, \xi) \rightarrow_{\text{lab}(R)} \text{lab}(r, \xi)$  (and  $\xi \circ \sigma$  is a valid valuation);
- \* the rewriting relation is closed by substitution, and  $\text{lab}(\ell, \xi \circ \sigma) \rightarrow_{\text{lab}(R)} \text{lab}(r, \xi \circ \sigma)$  and  $\text{lab}(\sigma, \xi)$  is a substitution.
- We now show that  $\text{lab}$  is compatible with  $\mathcal{F}^I$  operations to be able to build contexts. Assume  $\ell \rightarrow r \in R$ ,  $t_1, \dots, t_{m-1}, t_{m+1}, \dots, t_n \in \mathcal{F}$  and  $f \in \mathcal{F}_n$ . Then

$$\begin{aligned} \text{lab}(f t_1 \dots \ell \dots t_n) &= f_{t_1 \xi \dots \ell \xi \dots t_n \xi} \text{lab}(t_1, \xi) \dots \text{lab}(\ell, \xi) \dots \text{lab}(t_n, \xi) \rightarrow_{\text{lab}(R)} \\ f_{t_1 \xi \dots r \xi \dots t_n \xi} \text{lab}(t_1, \xi) \dots \text{lab}(r, \xi) \dots \text{lab}(t_n, \xi) &= \text{lab}(f t_1 \dots r \dots t_n, \xi) \end{aligned} \quad (2)$$

with the rewriting allowed since  $\ell \xi =_I r \xi$  because  $R \subseteq =_I$ .

And since  $A \neq \emptyset$  by definition of an  $\mathcal{F}$  algebra, a valuation  $\xi$  can always be found and a sequence in  $\text{lab}(R)$  can always be built.

2. Prove that a polynomial interpretation cannot prove the termination of the following system

$$\begin{aligned} f(s X) &\rightarrow f(p(s X)) \diamond (s X) & p(s z) &\rightarrow z \\ p(s(s X)) &\rightarrow s(p(s X)) \end{aligned}$$

**Solution:**

Because we are on  $\mathbb{N}$ , we have that  $P_p \geq id_{\mathbb{N}}$  and  $P_\diamond(\cdot, y) \geq id_{\mathbb{N}}$ . Consequently,

$$P_\diamond((P_f \circ P_p \circ P_s)(X), P_s(X)) \geq (P_f \circ P_s)(X)$$

3. Prove that this rewrite system can be proved terminating using 1.

**Solution:**

Use as model  $\mathbb{N}$  where  $s\ n = n + 1$ ,  $p\ n = n - 1$ ,  $\diamond\ n\ m = n + m$  and  $f\ n = \frac{n*(n+1)}{2}$ .  
Take

- $P_i(X) = (2^{i+1} - 1)X + i$ ,
- $P_p(X) = 2X$ ,
- $P_s(X) = X + 1$ ,
- $P_z = 0$  and
- $P_\diamond(X, Y) = X + Y$ .

and compute

- $P_\diamond(P_i \circ P_p \circ P_s(X), P_s(X)) = (2^{i+1} - 1) * 2(X + 1) + i + X + 1$   
 $= (2^{i+2} - 2 + 1)X + 2^{i+2} - 2 + i + 1$   
 $= (2^{i+2} - 1)(X + 1) + i$   
 which is smaller than  $P_{i+1} \circ P_s(X) = (2^{i+2} - 1)(X + 1) + i + 1$  ;
- $P_p \circ P_s \circ P_z = 2$  which is greater than  $P_z = 0$ ;
- $P_p \circ P_s \circ P_s = 2X + 4$  greater than  $P_s \circ P_p \circ P_s = 2X + 3$ .

Additionnally, one has to verify that each polynomial is (strictly) increasing for all its variables.

A **polynomial interpretation on real numbers** is the following:

- a subset  $A$  of  $\mathbb{R}^+$ ;
- a positive real number  $\delta$ ;
- for every symbol  $f$  of arity  $n$ , a polynomial  $P_f \in \mathbb{R}[X_1, \dots, X_n]$ ;
- for every  $a_1, \dots, a_n \in A$ ,  $P_f(a_1, \dots, a_n) \in A$ ;
- for every  $a_1, \dots, a_i >_\delta a'_i, \dots, a_n \in A$ ,  $P_f(a_1, \dots, a_i, \dots, a_n) >_\delta P_f(a_1, \dots, a'_i, \dots, a_n)$  where  $x >_\delta y$  iff  $x > y + \delta$ .

Then  $(A, (P_f)_f, >_\delta)$  is a well-founded monotone algebra.

**Exercise 7:**

Consider the following two TRS:

$$R_1 = \{ l(p(x)) \rightarrow p(p(l(x))), p(s(x)) \rightarrow s(s(p(x))), p(x) \rightarrow a(x, x), \\ s(x) \rightarrow a(x, 0), s(x) \rightarrow a(0, x) \}$$

$$R_2 = \{ r(r(r(x))) \rightarrow a(r(x), r(x)), s(a(r(x), r(x))) \rightarrow r(r(r(x))) \}$$

1. Prove that  $R_1 \cup R_2$  terminates using the following polynomial interpretation on real numbers:  $\delta = 1$ ,  $P_0(X) = 0$ ,  $P_l(X) = X^2$ ,  $P_s(X) = X + 4$ ,  $P_p(X) = 3X + 5$ ,  $P_a(X, Y) = X + Y$  and  $P_r(X) = \sqrt{2}X + 1$ .

**Solution:**

$$P_{l(p(x))}(X) = 9X^2 + 30X + 25 >_1 P_{p(p(l(x)))}(X) = 9X^2 + 20$$

$$P_{p(s(x))}(X) = 3X + 17 >_1 P_{s(s(p(x)))}(X) = 3X + 13$$

$$P_{p(x)}(X) = 3X + 5 >_1 P_{a(x, x)}(X) = 2X$$

$$P_{s(x)}(X) = X + 4 >_1 P_{a(x, 0)}(X) = X$$

$$P_{s(x)}(X) = X + 4 >_1 P_{a(0, x)} = X$$

$$P_{r(r(r(x)))}(X) = 2\sqrt{2}X + 3 + \sqrt{2} >_1 P_{a(r(x), r(x))} = 2\sqrt{2}X + 2$$

$$P_{s(a(r(x), r(x)))}(X) = 2\sqrt{2}X + 6 >_1 P_{r(r(r(x)))}(X) = 2\sqrt{2}X + 3 + \sqrt{2}$$

2. Prove that in any polynomial interpretation on natural numbers proving the termination of  $R_1$  it must hold that  $P_s(X)$  is of the form  $X + s_0$  and  $P_a(X, Y)$  is of the form  $X + Y + a_0$ , with  $s_0 > a_0$ .

*hint: look at the dominant terms of the polynomials computed from the rewrite rules.*

**Solution:**

Let  $P_0 = z \geq 0$ . From the second rule of  $R_1$ , let  $\alpha$  be the degree of  $P_s(X)$  and let  $\beta$  be the degree of  $P_p(X)$ . From  $P_{ps(x)}(X) > P_{s(s(p(x)))}(X)$  it must hold that  $\beta\alpha \geq \alpha\alpha\beta$ . Therefore  $\alpha = 1$ . Similarly, from the first rule, also  $P_p(X)$  is of degree one. From the third rule it must hold that  $P_a(X, Y)$  is also of degree one. So  $P_p(X)$  is of the form  $p_1X + p_0$ ,  $P_s(X)$  is of the form  $s_1X + s_0$  whereas  $P_a(X, Y)$  is of the form  $a_2X + a_1Y + a_0$ . From the fourth rule it must hold  $s_1X + s_0 > a_2X + a_0 + a_1z$ , which implies  $s_1 \geq a_2 \geq 1$ . Similarly, from the fifth rule,  $s_1 \geq a_1 \geq 1$ . From the second rule  $s_1p_1X + s_0p_1 + p_0 > s_1^2p_1X + s_1^2p_0 + s_1s_0 + s_0$  and therefore it must hold that  $s_1p_1 \geq s_1^2p_1$ . Therefore  $s_1 = 1$ , which also implies  $a_2 = a_1 = 1$ . Moreover from  $s_1X + s_0 > a_2X + a_0 + a_1z$ , it must hold  $s_0 > a_0$ .

3. Deduce that the termination of  $R_1 \cup R_2$  cannot be proved using a polynomial interpretation of integers.

**Solution:**

Let  $\alpha$  be the degree of the polynomial  $P_r(X)$ . From the second rule of  $R_2$  it must hold that  $\alpha^3 \leq \alpha$  and therefore  $\alpha = 1$  and  $P_r(X)$  is of the form  $r_1X + r_0$ . Looking now at the first rule, it must hold that  $r_1(r_1(r_1X + r_0) + r_0) + r_0 > 2r_1X + 2r_0 + a_0$  which implies  $r_1^3 \geq 2r_1$  and therefore  $r_1^2 \geq 2$ . Similarly, from the second rule of  $R_2$  it must hold that  $2r_1 \geq r_1^3$  or alternatively  $r_1^2 \leq 2$ . Therefore  $r_1^2$  must be equal to 2, which requires  $r_1 (= \sqrt{2})$  not to be a natural number.